

On better-quasi-ordering under graph minors

Agelos Georgakopoulos
University of Warwick

Bertinoro, 29/10/25
University of Warwick

On blackboard

Conjecture (Folklore)

The finite graphs are better-quasi-ordered (BQO) under the minor relation.

Conjecture (Thomas '88)

The countable graphs are well-quasi-ordered (WQO) under the minor relation.

*“There is not much chance of proving these conjectures because they imply that the set of all finite graphs is ‘**second-level better-quasi-ordered**’ by minor containment, which in itself seems to be a hopelessly difficult problem”.*

— Robertson, Seymour & Thomas '95

2nd level BQO

Given two sets of graphs $\mathcal{G}, \mathcal{G}'$, we write $\mathcal{G} <_* \mathcal{G}'$ if for every $G \in \mathcal{G}$ there is $H \in \mathcal{G}'$ such that $G < H$.

Problem

Are the sets of finite graphs WQO under $<_$?*

Equivalently:

Problem

Is the set of minor-closed families of finite graphs WQO under $\subseteq$?

2nd level BQO

Given two sets of graphs $\mathcal{G}, \mathcal{G}'$, we write $\mathcal{G} <_* \mathcal{G}'$ if for every $G \in \mathcal{G}$ there is $H \in \mathcal{G}'$ such that $G < H$.

Problem

Are the sets of finite graphs WQO under $<_$?*

Equivalently:

Problem

Is the set of minor-closed families of finite graphs WQO under $\subseteq$?

Yes if Conjecture 1 is true.

Better-Quasi-Ordering (BQO)

Given two sets of graphs $\mathcal{G}, \mathcal{G}'$, we write $\mathcal{G} <_* \mathcal{G}'$ if for every $G \in \mathcal{G}$ there is $H \in \mathcal{G}'$ such that $G < H$.

Note that $<_*$ is a quasi-order on $\mathcal{P}(\mathcal{F})$, where $\mathcal{F} := \{ \text{Finite graphs} \}$.

We can iterate:

If $\mathcal{P}(\mathcal{F}), \mathcal{P}(\mathcal{P}(\mathcal{F})), \mathcal{P}(\mathcal{P}(\mathcal{P}(\mathcal{F}))), \dots$ are WQO for ω_1 steps, this defines what it means for $<$ to be a **BQO**.

BQO Theorems

Theorem (Nash-Williams '65)

The infinite trees are BQO (hence WQO).

BQO Theorems

Theorem (Nash-Williams '65)

The infinite trees are BQO (hence WQO).

Theorem (Laver '71)

The countable linear-order types are BQO (hence WQO) under the embeddability relation.

Theorem (Thomassé '00)

... Same for Countable Series-Parallel Orders.

Theorem (Martinez-Ranero '11)

... Same for Aronszajn lines.

Theorem (Carroy '13)

... Same for closed subsets of zero-dimensional Polish spaces.

BQO Theorems

Theorem (Nash-Williams '65)

The infinite trees are BQO (hence WQO).

Theorem (Laver '71)

The countable linear-order types are BQO (hence WQO) under the embeddability relation.

Theorem (Thomassé '00)

... Same for Countable Series-Parallel Orders.

Theorem (Martinez-Ranero '11)

... Same for Aronszajn lines.

Theorem (Carroy '13)

... Same for closed subsets of zero-dimensional Polish spaces.

Theorem (Thomas '89)

... Same for graphs of tree-width k .

“the poset of finite graphs endowed with the minor relation is the only naturally occurring WQO which is not yet known to be BQO”.

— Y. Pequignot '17

The Theorem

A graph is **rayless** if it does not contain an (1-way) infinite path (aka. **ray**).

Theorem (G '25+)

The following statements are equivalent:

- 1 *The finite graphs are BQO;*
- 2 *The countable rayless graphs are WQO;*
- 3 *The countable rayless graphs are BQO.*

The Theorem

A graph is **rayless** if it does not contain an (1-way) infinite path (aka. **ray**).

Theorem (G '25+)

The following statements are equivalent:

- 1 *The finite graphs are BQO;*
- 2 *The countable rayless graphs are WQO;*
- 3 *The countable rayless graphs are BQO.*

*“There is not much chance of proving these conjectures because they imply that the set of all finite graphs is ‘**second-level better-quasi-ordered**’ by minor containment, which in itself seems to be a hopelessly difficult problem”.*

— Robertson, Seymour & Thomas '95

On Rayless graphs

Theorem (R. Schmidt '83)

A (countable) graph G is rayless iff $G \in \text{Rank}_\alpha$ for some ordinal $\alpha (< \omega_1)$.

We write $\text{Rank}(G) = \alpha$ for the smallest such α .

On Rayless graphs

Theorem (R. Schmidt '83)

A (countable) graph G is rayless iff $G \in \text{Rank}_\alpha$ for some ordinal $\alpha (< \omega_1)$.

We write $\text{Rank}(G) = \alpha$ for the smallest such α .

Rayless graphs are easier, e.g.:

Theorem (Bruhn, Diestel, G & Sprüssel '10)

The Unfriendly Partition Conjecture is true for rayless graphs.

On Rayless graphs

Theorem (R. Schmidt '83)

A (countable) graph G is rayless iff $G \in \text{Rank}_\alpha$ for some ordinal $\alpha (< \omega_1)$.

We write $\text{Rank}(G) = \alpha$ for the smallest such α .

Rayless graphs are easier, e.g.:

Theorem (Bruhn, Diestel, G & Sprüssel '10)

The Unfriendly Partition Conjecture is true for rayless graphs.

Can we prove Thomas' conjecture for rayless graphs by induction on the rank? Beyond reach...

Theorem 2

Let $\mathcal{R}$ denote the class of countable rayless graphs.

Theorem (G '25+)

The following statements are equivalent:

- 1 $\mathcal{R}$ is WQO;
- 2 $\mathcal{R}$ has no infinite descending chain;
- 3 $\mathcal{R}$ has no infinite antichain;
- 4 for every ordinal $\alpha < \omega_1$, the number of minor-twin classes of countable rayless graphs of rank α is $\aleph_0$.

Say that G, G' are **minor-twins**, if $G < G'$ and $G' < G$.

Theorem 2

Let $\mathcal{R}$ denote the class of countable rayless graphs.

Theorem (G '25+)

The following statements are equivalent:

- ① $\mathcal{R}$ is WQO; $\iff \mathcal{F}$ is BQO $\iff \mathcal{R}$ is BQO
- ② $\mathcal{R}$ has no infinite descending chain;
- ③ $\mathcal{R}$ has no infinite antichain;
- ④ for every ordinal $\alpha < \omega_1$, the number of minor-twin classes of countable rayless graphs of rank α is $\aleph_0$.

Say that G, G' are **minor-twins**, if $G < G'$ and $G' < G$.

A rank-reducing lemma

Let $UF := \{G \mid \text{each component of } G \text{ is finite}\}$.

Corollary

*The number of minor-twin classes of UF is $\aleph_0$
 $\iff$ the finite graphs are WQO (=GMT).*

A rank-reducing lemma

Let $UF := \{G \mid \text{each component of } G \text{ is finite}\}$.

Corollary

*The number of minor-twin classes of UF is $\aleph_0$
 $\Leftrightarrow$ the finite graphs are WQO (=GMT).*

(True for other graph relations.)

A rank-reducing lemma

Let $UF := \{G \mid \text{each component of } G \text{ is finite}\}$.

Corollary

*The number of minor-twin classes of UF is $\aleph_0$
 $\Leftrightarrow$ the finite graphs are WQO (=GMT).*

(True for other graph relations.)

For a rayless G , let

$C(G) := \{H \mid H < G \text{ and } \text{Rank}(H) < \text{Rank}(G)\}$

(For $G \in UF$, these are the finite minors of G .)

Lemma

*For every $G, G' \in UF$, we have
 $C(G) \subseteq C(G') \Leftrightarrow G < G'$.*

Corollary 2: Self-minors

Lemma

*For every $G, G' \in UF$, we have
 $C(G) \subseteq C(G') \iff G < G'$.*

Conjecture (Seymour's self-minor conjecture (unpublished))

Every (countable) graph is a proper minor of itself.

False for uncountable graphs [Oporowski '90].

Corollary 2: Self-minors

Lemma

For every $G, G' \in UF$, we have $C(G) \subseteq C(G') \iff G < G'$.

Conjecture (Seymour's self-minor conjecture (unpublished))

Every (countable) graph is a proper minor of itself.

False for uncountable graphs [Oporowski '90].

Corollary

True for rayless graphs (of any cardinality).

Corollary 2: Self-minors

Lemma

For every $G, G' \in \text{Rank}_1$, we have
 $C^\bullet(G) \subseteq C^\bullet(G') \iff G < G'$.

Conjecture (Seymour's self-minor conjecture (unpublished))

Every (countable) graph is a proper minor of itself.

False for uncountable graphs [Oporowski '90].

Corollary

True for rayless graphs (of any cardinality).

Corollary 2: Self-minors

Lemma

For every $G, G' \in \text{Rank}_1$, we have
 $\text{C}^\bullet(G) \subseteq \text{C}^\bullet(G') \iff G < G'$.

where $\text{C}^\bullet(G) := \{ \text{finite } (H, M) \mid$
 $(H, M) <_\bullet (G, A(G)) \text{ and } \text{Rank}(H) < \text{Rank}(G) \}$

Conjecture (Seymour's self-minor conjecture (unpublished))

Every (countable) graph is a proper minor of itself.

False for uncountable graphs [Oporowski '90].

Corollary

True for rayless graphs (of any cardinality).

The Rank-reducing lemma

Lemma

For every $G, G' \in \text{Rank}_1$, we have
 $C^\bullet(G) \subseteq C^\bullet(G') \iff G < G'$.

More generally:

Lemma

Let $G, H \in \text{Rank}_\alpha$, $\alpha < \omega_1$. Assume $\text{Rank}_{<\alpha}^\bullet$ is WQO, and $|\text{Rank}_{<\alpha}^\bullet|_{<\cdot}$ is countable. We have
 $G < G' \iff C^\bullet(G) \subseteq C^\bullet(G')$.

Theorem 2 again

$\mathcal{R} := \{ \text{countable rayless graphs} \}.$

Theorem (G '25+)

The following statements are equivalent:

- ① $\mathcal{R}$ is WQO; ($\Leftrightarrow \mathcal{F}$ is BQO $\Leftrightarrow \mathcal{R}$ is BQO)
- ② $\mathcal{R}$ has no infinite descending chain;
- ③ $\mathcal{R}$ has no infinite antichain;
- ④ for every ordinal $\alpha < \omega_1$, the number of minor-twin classes of countable rayless graphs of rank α is $\aleph_0$.

Theorem 2 again

Theorem (G '25+)

The following are equivalent for every $\alpha < \omega_1$:

- 1 $\text{Rank}_{<\alpha}^{n\bullet}$ is WQO for every $n \in \mathbb{N}$;
- 2 $|\text{Rank}_\alpha|_< = \aleph_0$;
- 3 $|\text{Rank}_\alpha^\bullet|_{<\bullet} = \aleph_0$;
- 4 $|\text{Rank}_\alpha|_< < 2^{\aleph_0}$;
- 5 $\text{Rank}_\alpha^\bullet$ has no descending chain;
- 6 Rank_α has no descending chain;
- 7 $\text{Rank}_{<\alpha}^{n\bullet}$ has no antichain for every $n \in \mathbb{N}$;

Theorem 2 again

Theorem (G '25+)

The following are equivalent for every $\alpha < \omega_1$:

- ① $\text{Rank}_{<\alpha}^{n\bullet}$ is WQO for every $n \in \mathbb{N}$;
- ② $|\text{Rank}_\alpha|_{<} = \aleph_0$;
- ③ $|\text{Rank}_\alpha^\bullet|_{<\bullet} = \aleph_0$;
- ④ $|\text{Rank}_\alpha|_{<} < 2^{\aleph_0}$;
- ⑤ $\text{Rank}_\alpha^\bullet$ has no descending chain;
- ⑥ Rank_α has no descending chain;
- ⑦ $\text{Rank}_{<\alpha}^{n\bullet}$ has no antichain for every $n \in \mathbb{N}$;

Lemma

Let $G, H \in \text{Rank}_\alpha, \alpha < \omega_1$. Assume $\text{Rank}_{<\alpha}^\bullet$ is WQO, and $|\text{Rank}_{<\alpha}^\bullet|_{<\bullet}$ is countable. We have $G < G' \iff C^\bullet(G) \subseteq C^\bullet(G')$.

Theorem 1 again

Theorem (G '25+)

The following statements are equivalent:

- 1 *The finite graphs are BQO;*
- 2 *The countable rayless graphs are WQO;*
- 3 *The countable rayless graphs are BQO.*

Theorem 1 again

Theorem (G '25+)

The following statements are equivalent:

- 1 *The finite graphs are BQO;*
- 2 *The countable rayless graphs are WQO;*
- 3 *The countable rayless graphs are BQO.*

Theorem 1 again

Theorem (G '25+)

The following statements are equivalent:

- 1 *The finite graphs are BQO;*
- 2 *The countable rayless graphs are WQO;*
- 3 *The countable rayless graphs are BQO.*

Theorem (Folklore; Pequignot '17)

A quasi-order $\mathcal{F}$ is BQO if and only if $HCIP(\mathcal{F})$ is WQO.

where $HCIP(\mathcal{F})$ denotes the set of hereditarily countable elements of $\mathcal{F} \cup \mathcal{P}(\mathcal{F}) \cup \mathcal{P}(\mathcal{P}(\mathcal{F})) \cup \dots$ (up to ω_1).

Forbidding a rayless tree

Theorem (G '25+)

*Let $\mathcal{T}$ be a minor-closed family of $\mathbb{N}$ -labelled rayless forests. Then $\mathcal{T}$ is **Borel** if and only if it is proper, i.e. it does not contain all rayless forests.*

Forbidding a rayless tree

Theorem (G '25+)

*Let $\mathcal{T}$ be a minor-closed family of $\mathbb{N}$ -labelled rayless forests. Then $\mathcal{T}$ is **Borel** if and only if it is proper, i.e. it does not contain all rayless forests.*

Proof ingredients:

- Thomas' theorem that the graphs of tree-width k are WQO;
- The rank-reducing lemma;
- Hacking the Turing machine (with J. Grebik).

Forbidding a rayless tree

Theorem (G '25+)

*Let $\mathcal{T}$ be a minor-closed family of $\mathbb{N}$ -labelled rayless forests. Then $\mathcal{T}$ is **Borel** if and only if it is proper, i.e. it does not contain all rayless forests.*

Proof ingredients:

- Thomas' theorem that the graphs of tree-width k are WQO;
- The rank-reducing lemma;
- Hacking the Turing machine (with J. Grebik).

Problem

Is there a family of rayless $\mathbb{N}$ -labelled graphs which is closed under minors, has rank less than ω_1 , and is not Borel?

If yes then the finite graphs are not BQO.



Problem

*Are the finite **planar** graphs BQO?*

Theorem (G '25+)

The following statements are equivalent:

- 1 *The finite graphs are BQO;*
- 2 *The countable rayless graphs are WQO;*
- 3 *The countable rayless graphs are BQO.*